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# ***U.S. PATENT APPLICATION***

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***Invention:*** PASSIVE OPTICAL TRANSCEIVERS

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## ***SPECIFICATION***

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## **PASSIVE OPTICAL TRANSCEIVERS**

### **Field of the Invention**

5 The present invention relates to passive optical transceivers and, in particular a transceiver adapted to receive optical signals from external sources, decode data from the signals, and encode other data onto the signals for transmission.

### **Background of the Invention**

10 Passive optical networks (PONs) provide cost-efficient delivery of broadband data services to homes. The term 'passive' usually refers to the absence of powered, active elements between the transmitter and the receiver, but may also refer to bidirectional loop-back networks in which the transceiver in the home does not contain a light source. The  
15 transceiver transmits upstream data by encoding it onto the light sent downstream from a central office or exchange. However, these devices generally use either (i) separate input and output ports, requiring separate upstream and downstream optical fibres, or (ii) the inclusion of complex and costly optical components, such as optical circulators and isolators. It is desired, therefore, to provide a single port passive optical transceiver, or at  
20 least a useful alternative.

### **Summary of the Invention**

In accordance with the present invention there is provided a passive optical transceiver for  
25 receiving a first optical signal stream and transmitting a second optical signal stream, including:

- a photodetector for detecting a first portion of said first stream; and
  - an optical modulator for modulating a second portion of said first stream to provide said second stream ;
- 30 wherein said streams are colinear with said photodetector and said modulator.

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The present invention also provides an optical transceiver including:

an input/output port for receiving a first optical signal stream and transmitting a second optical signal stream;

5 a photodetector;

an optical modulator for passing said streams and for modulating said second stream in response to a modulation signal; and

10 a reflector for passing said first stream for detection by said photodetector and for partially reflecting said first stream to said optical modulator to provide said second stream.

The present invention also provides a passive optical transceiver, including an optical modulator, a partially reflective mirror, and a photodetector, said mirror, modulator and photodetector arranged to be substantially coaxial with the axis of light propagation  
15 through said transceiver, and configured so that light entering the transceiver passes through the modulator to the mirror, where a portion of said light is transmitted through the mirror to the photodetector, and another portion of said light is reflected by the mirror, is modulated by the modulator, and is transmitted from said transceiver.

20 The present invention also provides a method of encoding second data onto an optical stream encoded with first data, including:

receiving said optical stream encoded by modulating its intensity between a first non-zero intensity and a second non-zero intensity on the basis of said first data; and

25 encoding said second data onto said optical stream by further modulating said optical stream by attenuating its intensity between a first attenuation value and a second attenuation value, said attenuation values chosen so that their ratio is different from the ratio of said first and second intensities.

### **Brief Description of the Drawings**

- Preferred embodiments of the present invention are hereinafter described, by way of example only, with reference to the accompanying drawings, wherein:
- Figure 1 is a schematic diagram of a first preferred embodiment of a passive optical transceiver;
- Figure 2 is a schematic diagram of a second preferred embodiment of a passive optical transceiver;
- 10 Figure 3 is a schematic representation of the optical signals processed by the passive optical transceiver of the embodiments;
- Figures 4A to 4C are schematic diagrams of a third preferred embodiment of a passive optical transceiver; and
- Figure 5 is a schematic diagram of a fourth preferred embodiment of a passive optical transceiver, and illustrating the polarisation changes in the upstream and downstream signals.
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### **Detail Description of Preferred Embodiments of the Invention**

- 20 A passive optical transceiver 101, 102, 103 and 104, as shown in the Figures, includes a single optical port 2, an optical modulator 4, a partially reflective mirror 5, and a photodetector 6, arranged in a colinear or coaxial manner. The transceiver 101, 102, 103 and 104 is passive in the sense that it transmits optical signals using light received from an external source.

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Downstream optical data signals 1 transported along an optical fibre 12 enter the transceiver 101 through the input/output optical port 2, and are transported along an optical pathway 3 through the modulator 4 to the partially reflective mirror 5. Because the mirror 5 is partially reflective, only a portion 14 of the light falling on the mirror 5 is reflected,

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and another portion 16 of the light is transmitted through the mirror 5 to the photodetector 6. The photodetector 6 converts the information encoded into the light as variations in intensity into electrical output signals 22. These signals 22 are subsequently processed by external circuitry.

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Upstream data to be transmitted by the transceiver 101 is supplied to the transceiver 101 as electrical input signals 20. A driver circuit 7 converts this electrical input data 20 into an electrical signal suitable for driving the modulator 4. The portion 14 of the downstream light that was reflected by the partially reflective mirror 5 re-enters the modulator 4, which  
10 modulates the intensity of the reflected light 14 on the basis of the input signals 20 to encode the information from the electrical input signals 20 as an upstream optical data signal 8 which is transmitted from the transceiver 101 via the input/output port 2 into the optical fibre connected to the port 2.

15 In a second transceiver 102, the optical pathways 3 are eliminated so that the modulator 4, the mirror 5 and the photo-detector 6 are close-coupled to each other, as shown in Figure 2. This reduces optical losses and round-trip transit time, and simplifies construction.

The photodetector 6 is a high-speed InGaAs photodiode with sub-nanosecond rise and fall  
20 times, but photodiodes fabricated from other semiconducting materials could alternatively be used, as is known to those skilled in the art.

The modulator 4 is a lithium niobate Mach-Zehnder interferometer, but could alternatively be a Pockels cell, an optic fibre modulator, or another kind of modulator, such as those  
25 based on polymer, silicon, electro-refraction, semiconductor multi-quantum-wells, electro-absorption, optical amplifiers, or micro-electro-mechanical systems (MEMS), for example. However, the modulator 4 operates in a single direction only (*i.e.*, the upstream direction), as described below, so that the optical signals passing downstream through the modulator data are not modulated.

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T O T A L : 2 0 3 6 9 5 0

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As with dual port transceivers, the appropriate choice of components enables the single port in-line transceiver 101, 102 to be configured for single wavelength time-division multiplexing (TDM) or wavelength division multiplexing (WDM) architectures. In the WDM configuration, the mirror is  $\approx 100\%$  transmitting at the downstream wavelength and  $\approx 100\%$  reflecting at the upstream wavelength. For example, a standard di-electric mirror could be used to achieve 99.98% transmission at  $1310 \pm 50$  nm, and 99.98% reflectivity at  $1550 \pm 50$  nm. In the TDM configuration, the downstream and upstream signals are allotted different time slots, and the partially reflective mirror 5 can be replaced with a device capable of a time-varying broadband reflectance and transmittance, such as a MEMS device that acts as a mirror that can be switched on and off. Examples of such devices include a micromachined actuator with a mirror that is inserted into and withdrawn from the optical path, and a mechanical anti-reflection switch, as described in US Patents 5,923,798 and 5,949,571.

Alternatively, the upstream signal can be encoded onto the downstream data by amplitude division multiplexing (ADM). This method enables the transceiver 101, 102 to possess the advantages of both TDM and WDM architectures without suffering the associated disadvantages. In other words, a single wavelength ADM architecture allows for close channel spacing and broadband wavelength compatibility without sacrificing transmission speed or requiring complex clock synchronization. Moreover, the components required for single-wavelength ADM architectures are relatively cheap and readily available. Figure 3 shows an example of optical signals processed by the transceiver 102, 103. The downstream data signal 1 is transmitted with the "on" state being at full power "1" and the "off" state at half power " $\frac{1}{2}$ ", as shown in Figure 3(a). The mirror 5 allows a portion of the downstream data to transmit through to the photo-detector 6 to form the "receive" signal, as shown in Figure 3(b). For the purposes of illustration, the mirror is assumed to be a 50% optical coupler. The photo-detector 6 circuit discriminates a "1" whenever the receive signal is greater than  $\frac{1}{4}$  amplitude level. The remaining downstream signal is reflected back through the modulator 4 to be encoded as the upstream or "send" signal 8.

The modulator 4 superimposes its upstream data (as shown in Figure 3(c)) onto the reflected part of the input signal (Figure 3(b)) by passing the reflected signal directly to the input/output port 2 when the upstream data is a "1", and blocks it when the upstream signal

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is "0". This results in the transmitted optical signal shown in Figure 3(d). The receiver at the other end of the bi-directional link sees the upstream data signal from the transceiver and discriminates a "1" whenever the returned power is greater or equal to  $\frac{1}{4}$  amplitude level.

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For an ADM transceiver to work in practice, it is prudent to use more sophisticated ADM methods with different coupling optics. For example, a reduced on/off variation is used for the downstream signal (e.g., on state = 100%, off state = 80%). This requires a more sensitive photodetector for the downstream signal, but improves the signal-to-noise ratio of the upstream signal 8. It also has the advantage of minimizing Rayleigh noise that is often observed in high-speed single fibre links. Rayleigh noise is caused by interference of bi-directional signals with Rayleigh backscattered light. The small downstream signal is constructed to effectively be a "dither" modulation signal that reduces interference effects. Dither signals reduce such noise and are electronically removed at the detector end. When the dither downstream signal operates at a different modulation frequency than the larger upstream modulation, Rayleigh noise is reduced by several orders of magnitude. Hence the downstream signal operates as both a dither signal to reduce noise and a carrier of data. Instead of the dither signal being removed at the photodetector, it is measured and converted into an electronic data stream.

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In a third transceiver 103, as shown in Figures 4A to 4C, a modulator 40 is used that is a type of Michelson modulator, as it utilizes a reflecting Michelson interferometer arrangement rather than a conventional Mach-Zehnder interferometer arrangement. The partially reflecting mirror 5 acts both as a filter for the downstream signal to the photodetector 6 and as a critical path component for the operation of the Michelson modulator 40. The Michelson modulator 40 is coupled to the mirror 5 and photodetector 6, as shown in Figure 4A, to form the single-port in-line Michelson transceiver 103. For clarity, components such as the input/output port 2, optical fibre, and driver circuit 7 have been omitted from Figures 4A to 4C.

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As shown in Figure 4B, the downstream data signal 1 containing both the downstream data and upstream carrier signal enters the modulator 40 and the incoming optical power is

divided between the two Michelson arms 42 and 44 of the transceiver 103. The modulator arms 42, 44 are fabricated from a non-linear optical material such as lithium niobate that can function as a phase or amplitude modulator by the electro-optic effect. Each arm of the modulator 4 produces a phase change in the transmitted signals. One arm is used to produce a positive phase change, whilst the other arm is used to produce a negative phase change. Alternatively, only one arm may be used to phase-modulate the optical signal. The two resultant downstream signals 24 pass through the mirror 5 and arrive at the photodetector 6 spatially separated and with different phases. At the mirror 5, the two downstream signals 24 are transmitted while the two upstream components 26 are reflected back through the modulator arms 42 and 44. As the two downstream signals 24 arrive at the photodetector 6 spatially separated, there is no phase-induced interference between them, even though a phase change has been imparted on them. Hence the transmitted downstream signal can be measured by one or two photodetectors 6, even when the modulator 4 is being operated to encode the upstream signal, because the photodetector 6 is insensitive to the phases of the optical signals. As shown in Figure 4C, the two reflected upstream signals 26 recombine at the junction of the arms 42 and 44. The phase difference between the two reflected upstream signals 26 causes destructive interference at the junction of the arms, 42, 44 and this phase difference may be adjusted to modulate the intensity of the recombined signal 8. Hence amplitude modulation of the upstream signal 8 can be achieved without affecting the transmission of the downstream signal 24 to the detector. With the appropriate choices of drive signal, mirror characteristics or additional components, the Michelson modulator transceiver 103 can be operated with ADM, TDM or WDM, as discussed above. The Michelson modulator 40 is insensitive to polarisation, and consequently can be used with all conventional fibre infrastructures.

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A fourth in-line multi-component transceiver 104 uses a polarisation-dependent electro-optic modulator 48, as shown in Figure 5. In typical fibre-optic networks, linearly polarised light from active sources loses much of its preferred polarisation state after travelling through a long enough distance of conventional optical fibre. This effect, known as polarisation dispersion loss, results in optical output that is significantly less polarised than the input light, with a smaller degree of preferred orientation. In conventional optical

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networks, this may be undesirable because it typically necessitates the use of expensive polarisation-insensitive components for passive switching and routing operations. Efficient modulation of unpolarised light requires polarisation-insensitive modulators (such as a Mach-Zehnder or Michelson device) instead of cheaper polarisation-sensitive modulators with a single optical pathway (such as a Pockels cell device). If a polarisation-sensitive device is used with unpolarised light, only a small portion of the light is actually modulated – that light which corresponds to the polarisation requirements of the modulator. However, significant advances have been recently made in the development of polarisation maintaining fibres (also known as polarisation preserving fibres). These fibres allow transmission of linearly polarised light over several kilometers, or even tens of kilometers, without significant depolarisation. An in-line transceiver 104 can be constructed using polarising element(s) and a polarisation-dependent modulator 48 so that efficient operation is possible using polarisation maintaining fibre as the transmission medium. This device again possesses the essential characteristic of the other transceivers 101, 102, and 103 discussed above – that the downstream data is not affected by the upstream data signal.

In the fourth transceiver 104, the downstream signal is vertically polarised and maintains this polarisation during its transmission through the polarisation maintaining fibre 10, as shown in Figure 5. Downstream light enters the electro-optic modulator 48, which is orientated so that it only modulates light with horizontal polarisation. Consequently, the downstream signal passes through the modulator 48 unaffected until it arrives at the polarisation element 9 which rotates the vertical polarisation by a quarter wave or  $45^\circ$  of polarisation. The “diagonally” polarised light travels through to the mirror 5, where a portion is transmitted through to the photodetector 6 where the downstream signal is then detected. The mirror 5 is either a broadband mirror suitable for amplitude multiplexing, or a wavelength-dependent mirror suitable for wavelength multiplexing the signals, depending upon configuration. The upstream portion of the diagonally polarised signal is reflected at the mirror 5 and passes through the polarising element 9 for the second time. The polarising element 9 rotates the polarisation vector of the circularly or diagonally polarised light a further  $45^\circ$  to produce horizontally polarised light. The horizontally

polarised light is then selectively modulated with the upstream data by the electro-optic modulator 48. The upstream data then travels back through the optical fibre without loss of polarisation.

- 5 A number of variations can be made to the four embodiments described above to provide additional embodiments. For example, in an alternative fifth embodiment, the modulator 4 is a standard modulator, insensitive to the direction of light propagation, but the downstream and upstream optical signals are divided by a time-division multiplexing (TDM) scheme, whereby particular time slots are allocated to downstream data, and the  
10 remaining time slots are allocated to upstream data. By turning the modulator 4 off during the downstream time slots, the downstream data is not modulated as it passes through the modulator 4.

- In a sixth embodiment, the upstream and downstream signals are discriminated by using a  
15 switchable reflection/transmission device, as described above, in a free-space optical path between the modulator 4 and the photodetector 6.

- In a seventh embodiment, the downstream light is encoded with the upstream data by the modulator before reaching the photodetector, but the upstream data is electronically  
20 removed from the composite optical signals received in the photodetector 6.

- In an eighth embodiment, the mirror 5 is removed and the transceiver is made transparent by using a partially transparent photodetector 6. A partially transparent photodetector device may be based on electro-absorption or similar technology. This configuration  
25 requires separate input and output fibres, located on both ends of the partially transparent photodetector device, and is used in ring networks.

- In a ninth embodiment, a partially transparent photodetector device is placed in front of, instead of behind, the modulator 4. This is the reverse of the in-line designs presented  
30 above.

The principal advantages of the passive in-line optical transceivers described herein over prior art transceivers are a reduction in components, simpler design, and intrinsic single port operation. These transceivers can be constructed to work with WDM, TDM and ADM multiplexing architectures. Furthermore, the use of ADM with an in-line transceiver provides single wavelength operation without sacrificing speed and design simplicity when compared to single-wavelength TDM architectures. The principal applications for these passive in-line optical transceivers include local access networks, local area networks, and possibly larger metropolitan networks. The potential for high-volume, low-cost manufacturing also enables a good compatibility with fibre-to-the-desktop architectures.

Moreover, there is scope for the transceiver to be applied to fast bus interfaces between components within computer systems (eg interconnects between central processing units and peripheral processor chips).

Many modifications will be apparent to those skilled in the art without departing from the scope of the present invention as herein described with reference to the accompanying drawings.